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PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Ander the Paperwork Reduction Act of 1995, no po	ersons are required to respond to a colle	ction of information un	less it displays a valid ONB cultion fulficer.
A STATE OF THE PADELWOIK NEGOCIATION ACTOR 1999: NO STATE OF THE PADELWOIK NEGOCIATION ACTOR 199	Application Number	09/040,509	RECEIVED
TRANSMITTAL	Filing Date	March 17, 1998	
FORM	First Named Inventor	Ronald A. KATZ	JUL 0 7 2004
(to be used for all correspondence after initial filing)	Art Unit	2643	T-shoology (2000-y 2000-
(to be used to all correspondence site with the	Examiner Name	S. Woo	Technology Center 2600
	Attorney Docket Number	6646-101NJ	
Total Number of Pages in This Submission			

		ENCLOSURES (Check all that apply)
	Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) 6 Remarks Form PTO-1449 Set I, Supplemental IV - XIV (52 pgs); copies of form PTO-1449 signed off by Examiner from 08/306,456 (6646-101N1) Supps. IV-V; copies of form PTO-1449 signed off by Examiner from 09/317,807 (6646-108N5) Supps. X-XII; Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 2 of 3 (7 pgs); Return postcard.
	SIGNA	TURE OF APPLICANT, ATTORNEY, OR AGENT
Firm or Individ	Reena Kuyper, Registratio	on No. 33,830
Signa		PU
Date	/	V
Jaic	June 3, 2004	
		TOTAL OF TOANGMISSION/MAILING

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Clarissa Lo

Signature

Date

June 3, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status, see 5	
TOTAL AMOUNT OF PAYMENT (\$)	180.00

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Application Number	09/040,509 REULIV
Filing Date	March 17, 1998 JUL 0 7 2004 RONALD A. KATZ
First Named Inventor	RONALD A. KATZ
Examiner Name	2643 Technology Center 260
Art Unit	S. Woo
Attorney Docket No.	6646-101NJ

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)				
Manay Clother Name 3 ADDITIONAL FEES				
Check Credit card Credit card Order Check Large Entity Small Entity				
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Deposit Account 50-1636 1051 130 2051 65 Surcharge - late filing fee or oath				
Number Deposit A2D I P 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet				
Account Name 1053 130 1053 130 Non-English specification				
The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination				
Charge fee(s) indicated below Credit any overpayments 1804 920* Requesting publication of SIR prior to Examiner action				
Charge any additional ree(s) of any discoparition the filling fee				
to the above-identified deposit account.				
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1001 770 2001 385 Utility filing fee 1295 2,010 2233 1,000 Limited 1401 330 2401 165 Notice of Appeal				
1002 340 2002 170 Design filing tee				
1003 530 2003 265 Plant filling fee 1403 290 2403 145 Request for oral hearing				
1004 770 2004 385 Reissue filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding	 			
1005 160 2005 80 Provisional filing fee 1452 110 2452 55 Petition to revive - unavoidable				
SUBTOTAL (1) (\$) 1453 1,330 2453 665 Petition to revive - unintentional				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue)				
Ext <u>ra Claims below Fee Paid</u> 1502 480 2502 240 Design issue lee				
Total Claims -20** = X = 1503 640 2503 320 Plant issue fee Independent x = 1460 130 1460 130 Petitions to the Commissioner				
Claims				
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Large Entity 1 Small Entity Fee Fee Fee Code (\$) Large Entity 1 Small Entity Fee Fee Fee Code (\$) Recording each patent assignment per property (times number of properties)	180.00			
1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))				
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))				
1204 86 2204 43 ** Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent 1802 900 Request for expedited examination of a design application				
Other fee (specify)				
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180. **or number previously paid, if greater; For Reissues, see above	.00			

SUBMITTED BY Registration No. Telephone 310-247-2860 33.830 Reena Kuyper Name (Print/Type) June 4, 2004 Date Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In re the Ap	plication of:) Group Art Unit:	2643
	Ronald A. KATZ) Examiner:	S. Woo
Serial No.:	09/040,509) Attorney Docket No.	.: 6646-101NJ
Filed:	March 17, 1998	Customer No.:	SECEWED
	EPHONIC-INTERFACE FISTICAL ANALYSIS SYSTEM)	JUL 0 7 2004
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Forms PTO-1449 submitted before (Set I and SUPPLEMENTAL I, II, and III), Applicant is submitting additional Forms PTO (SUPPLEMENTAL IV-XV) citing possible prior art that may or may not be "material" pursuant to 37 C.F.R. § 1.56. Applicant is submitting the possible prior art simply in the interest of maintaining a complete record with respect to the subject application. Initialed copies of the Forms PTO-1449 (Set I and SUPPLEMENTAL I, II, and III) have not been received as yet by the undersigned.

The submission of these documents (cited in SUPPLEMENTAL IV-XV) by the Applicant should not be construed as an admission that any such patent, publication or other information referred to in these documents is material or considered to be material (37 C.F.R. §

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Application No.:

09/040,509

Information Disclosure Statement dated:

June 3, 2004

1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such. The filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

- 1. Forms PTO-1449 (SUPPLEMENTAL IV and V) cite prior art that was considered (evidenced by the Examiner's signature on the attached copies of Forms PTO-1449) by the Examiner in parent application Serial No. 07/335,923 (issued U.S. Patent No. 6,016,344).
- 2. Forms PTO-1449 (SUPPLEMENTAL VA and VI through IX) cite prior art that was considered (evidenced by the Examiner's signature on the attached copies of Forms PTO-1449) by the Examiner in a related application Serial No. 08/306,456 (issued U.S. Patent No. 6,335,965) also considered in U.S. Patent No. 6,349,134 (see face of issued patent).
- 3. Forms PTO-1449 (SUPPLEMENTAL X-XII) cite prior art that was considered (evidenced by the Examiner's signature on the attached copies of Forms PTO-1449) by the Examiner in a related pending application Serial No. 09/317,807.
- 4. Forms PTO-1449 (SUPPLEMENTAL XIII) cite prior art submitted in Applicant's other related applications (e.g. Serial Nos. 09/239,053 and 09/827,614).
- 5. Forms PTO-1449 (SUPPLEMENTAL XIV and XV) cite new references that were recently brought to Applicant's attention by a third party.

Pursuant to pursuant to 37 CFR 1.52(e), Applicant encloses six (6) compact discs. Three are original and labeled "Copy 1" and three are identical and labeled "Copy 2." Pursuant to 37 CFR 1.52(e)(3), Applicant encloses an information data sheet of the references cited on Forms PTO 1449 (IV-XV) as well as scanned copies (in PDF format) of all the references listed.

Application No.:

Information Disclosure Statement dated:

09/040,509

June 3, 2004

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list the references should be placed in this file to make it available for future access by others.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. The Examiner is hereby authorized to charge the requisite fees (mentioned in the accompanying transmittal) and any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. 50-1636.

3 2004

Reena Kuyper

Respectfully submitted,

Registration No. 33,830

9220 Sunset Blvd., Suite 303 Los Angeles, CA 90069 (310) 247-2860



SUPPLEMENTAL IV

INFORMATION DISCLOSURE CITATION IN AN APPLICATION Docket No. (optional)

6646-101NJ

Applicant

Ronald A. Katz

Filing Date

March 17, 1998

Application No.

09/040,509

Group Art Unit

2643

	U.S	. PATENT DOCUMENTS	J		
DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NUMBER		Cincloton			
2,902,541	09/01/59	Singleton			
3,394,246	07/23/68	Goldman			
3,544,769	12/01/70	Hedin			
3,617,638	11/02/71	Jochimsen et al.			
3,696,335	10/03/72	Lemelson			
3,800,283	03/26/74	Gropper			
	04/29/75	Ross			
	06/10/75	Thompson			
	10/14/75	Botterell et al.			
	11/11/75	Miller et al.			
		EIGN PATENT DOCUM	IENTS		
	FUR	LIGHTATIL			TRANSLATION
DOCUMENT	DATE (Publ Dates)	COUNTRY	CLASS	SUBCLASS	YES NO
	02/05/81	Germany			
	02/11/88	Germany			
	06/17/87	United Kingdom			
21043277		Luting Author Title	Date. Pertine	nt Pages, Etc	;.)
OTHER	DOCUMENTS (II	ncluding Author, Title,	pp. 131-141 (Artic	le) - no translatio	'n
Kroemer, F., "T	ELEBOX", Unterrich	ISDIAILEI, year 30/1000, No. 2	nn. 67-83 (Article)) - no translation	
	NUMBER 2,902,541 3,394,246 3,544,769 3,617,638 3,696,335 3,800,283 3,881,160 3,889,050 3,912,874 3,918,174 DOCUMENT NUMBER OS 2929416 OS 3726366 2184327A OTHER	DOCUMENT NUMBER DATE 2,902,541 09/01/59 3,394,246 07/23/68 3,544,769 12/01/70 3,617,638 11/02/71 3,696,335 10/03/72 3,800,283 03/26/74 3,881,160 04/29/75 3,889,050 06/10/75 3,912,874 10/14/75 3,918,174 11/11/75 FOR DOCUMENT NUMBER DATE (Publ. Dates) OS 2929416 02/05/81 OS 3726366 02/11/88 2184327A 06/17/87 OTHER DOCUMENTS (Interrich TELEBOX" Unterrich	DOCUMENT NUMBER DATE NAME 2,902,541 09/01/59 Singleton 3,394,246 07/23/68 Goldman 3,544,769 12/01/70 Hedin 3,617,638 11/02/71 Jochimsen et al. 3,696,335 10/03/72 Lemelson 3,800,283 03/26/74 Gropper 3,881,160 04/29/75 Ross 3,889,050 06/10/75 Thompson 3,912,874 10/14/75 Botterell et al. FOREIGN PATENT DOCUM DOCUMENT NUMBER DATE (Publ. Dates) COUNTRY OS 2929416 02/05/81 Germany OS 3726366 02/11/88 Germany 2184327A 06/17/87 United Kingdom OTHER DOCUMENTS (Including Author, Title, TELEBOX" Unterrichtsblätter, year 38/1985, No. 4,	DOCUMENT NUMBER DATE NAME CLASS 2,902,541 09/01/59 Singleton	DOCUMENT NUMBER DATE NAME CLASS SUBCLASS 2,902,541 09/01/59 Singleton

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DATE CONSIDERED:



SUPPLEMENTAL IV

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket No. (optional)

6646-101NJ

Applicant

Ronald A. Katz

Filing Date

March 17, 1998

Application No.

09/040,509

Group Art Unit

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		U.S	. PATENT DOCUMENT	· ·		FILING DATE IF
EXAMINER NITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	APPROPRIATE
	4,054,756	10/18/77	Comella et al.			
	4,117,278	09/26/78	Ehrlich et al.			
	4,162,377	07/24/79	Mearns			
	4,191,376	03/04/80	Goldman			~~~~~
	4,241,942	12/30/80	Bachman			
	4,242,539	12/30/80	Hashimoto			**************************************
	4,299,637	11/10/81	Oberdeck et al.			
	4,348,554	09/07/82	Asmuth			
	4,360,827	11/23/82	Braun			
	4,451,087	09/10/85	Comstock			
	4,490,583	12/25/84	Bednarz et al.			
		FOR	LIGN PATENT DOCUM	MENTS		
	DOCUMENT	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	0 229 170 A	07/22/87	EPC			
	0 568 114 A	11/03/93	EPC			
		10/19/94	EPC			
	0 620 669 A	01/14/88	Japan			
	500138/88	12/20/90	Japan			
	298158/90			Data Partiner	t Pages. Etc	 :.)
	OTHER	DOCUMENTS (Ir	ncluding Author, Title,	tions Engineering.	ol. 4, April 1985	, pp. 32-35

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DATE CONSIDERED:



SUPPLEMENTAL IV

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Applicant

Ronald A. Katz

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Group Art Unit

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		U.S	. PATENT DOCUMENT	'S						
	DOCUMENT		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
XAMINER NITIAL	NUMBER	DATE								
	4,555,594	11/26/85	Friedes et al.							
	4,562,342	12/31/85	Solo							
	4,567,359	01/28/86	Lockwood							
	4,582,956	04/15/86	Doughty	RE	CEIVE	D				
	4,591,190	03/27/86	Clark		0 7 2004					
	4,614,367	09/30/86	Breen	<u>J</u> U						
	4,652,998	03/24/87	Koza et al.	- Techn o	o gy Cent er	2600				
	4,663,777	05/05/87	Szeto							
	4,671,512	06/09/87	Bachman et al.							
	4,677,553	06/30/87	Roberts et al.							
	4,694,490	09/15/87	Harvey et al.							
		FOR	LIGN PATENT DOCUM	IENTS						
						TRANSLATION				
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	YES NO				
	41855/91	02/22/91	Japan							
	2 230 403 A	10/17/90	United Kingdom							
	2,009,937-2	08/21/90	Canada							
	1 ' '		cluding Author, Title,	Date. Pertinen	t Pages, Etc	·.)				
	OTHER D	OCCUMENTS (IN	Ciddling Addition, Title,	signations Aug 108	5 pp 56h-l 68					
	A.S. Yatagai, "T	elephonic Voice Syn	thesis Systems," Telecommur	lications, Aug. 190	Egh 15 1087	at 50				
	A.J. Waite, "Ge	tting Personal With N	ew Technologies For Teleman	rketers, DM News	, 1 60. 10, 1907					
	"Shopping via a	network is no longer	just talk," Data Communication	ons, Aug. 1981 at	+3 	1095 at 51				
ļ	"Growth-Oriente	ed Systems, " Restau	rant Technology, Nation's Re	"Growth-Oriented Systems, " Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51						

EXAMINER:	DATE CONSIDERED:
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SUPPLEMENTAL IV

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket No. (optional)

6646-101NJ

Applicant
Ronald A. Katz

Filing Date

March 17, 1998

Application No.

09/040,509

Group Art Unit

2643

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		U.S	. PATENT DOCUMENTS	,				
EXAMINER NITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	4,704,725	11/03/87	Harvey et al.					
	4,716,583	12/29/87	Groner et al.					
	4,764,666	08/16/88	Bergeron					
	4,766,604	08/23/88	Axberg					
	4,700,001		Kollin et al.					
	4,774,655		McNabb et al.					
	4,788,718	11/29/88						
	4,796,293	01/03/89	Blinken et al.					
	4,797,910	01/10/89	Daudelin	audelin				
	4,842,278	06/27/89	Markowicz					
	4,847,890	07/11/89	Solomon et al.					
	4,882,473	11/21/89	Bergeron et al.					
	4,894,857 01/16/90		Szlam et al.					
		FOR	LIGN PATENT DOCUME	NTS				
		FUR	EIGN PATENT DOCUME		Τ	TRANSLATION		
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	YES NO		
	9002131	08/21/90	France					
	DE 4005365 A1	08/23/90	Germany					
	WO93/05483	03/18/1993	PCT					
		OUNTAILS (In	_l cluding Author, Title, Da	ate. Pertinen	t Pages, Etc	.)		
_	OTHER DO	COMENIS (IN	nd the computer the talking," Mo	odern Office Tec	h., May 1984 at	80		
	"Let your fingers of	do the tappinga	ind the computer the taking, with	Mor Oc	1084 at 59			
			for IBM mainframes," Computer		1904 at 09			
	"Business Units C	Get Order Entry," Co	omputerworld, July 12, 1982 at 3	36				

EXAMINER:	DATE CONSIDERED:



SUPPLEMENTAL IV

INFORMATION DISCLOSURE CITATION IN AN APPLICATION Docket No. (optional)

6646-101NJ

Applicant

Ronald A. Katz

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Application No.

09/040,509

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XAMINER	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
NITIAL	NUMBER 4,899,375	02/06/90	Bauer et al.				
	4,942,598	07/17/90	Davis				
	4,942,358	10/16/90	Aoshima				
		10/23/90	Harvey et al.				
	4,965,825	11/06/90	Dorst et al.				
	5,017,917 05/21/91		Fisher et al.				
			Pearson et al.				
	5,018,736		Kaplan et al.				
	5,023,904	06/11/91	Dorst et al.				
	5,046,183	09/03/91	Walker et al.				
	5,083,272	01/21/92	Gursahaney et el.				
	5,097,528	03/17/92					
	5,109,414	04/28/92	Harvey et al.				
	5,127,003	06/30/92	Doll, Jr. et al.				
		FO	REIGN PATENT DOCU	MENTS			
	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	NUMBER						
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		U	.S. PATENT DOCUMEN	TS		
EXAMINER NITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,146,491	09/08/92	Silver et al.			
	5,181,238	01/19/93	Medamana et al.			
	5,233,654	08/03/93	Harvey et al.			
	5,255,183	10/19/93	Katz (Penina)			
	5,263,723	11/23/93	Pearson et al.			
	5,333,185	07/26/94	Burke et al.			
	5,335,277	08/02/94	Harvey et al.			
	5,351,276	09/27/94	Doll Jr., et al.			
	5,353,335	10/04/94	D'Urso et al.			
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DATE CONSIDERED:

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OTHER TEMS FOR APPLICANT'S LIST OF PATENTS INFORMATION STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 6646-101NJ

SERIAL NO. 09/040,509

APPLICANT:

Ronald A. KATZ FILING DATE:

GROUP: RECEIVED

March 17, 1998

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<u>—</u> —		U.S. PATE	NT DOCUMENTS	Techr	iology Cer	nter, 2600
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
INITIAL	3,787,632	01/1974	Male et al.			
	3,940,569	02/1976	Schonbrun			
	3,987,252	10/1976	Vicari			
	4,068,099	01/1978	Mikkola			
	4,087,638	05/1978	Hayes et al.			
	4,090,034	05/1978	Moylan			
	4,160,129	07/1979	Peyser et al.			
	4,192,972	03/1980	Bertoglio et al.			
1	4,221,933	09/1980	Cornell et al.			
	4,255,619	03/1981	Saito			
	4,360,875	11/1982	Behnke			
	4,401,856	08/1983	Curtin et al.			
	4,428,296	01/1984	Scheuchzer et al.			
	4,445,001	04/1984	Bertoglio			
	4,517,412	05/1985	Newkirk et al.			
	4,518,824	05/1985	Mondardini			
	4,549,291	10/1985	Renoulin			
	4,585,903	04/1986	Schiller et al.			
	4,591,665	05/1986	Foster et al.			
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APPLICANT: Ronald A. KATZ	
FILING DATE: March 17, 1998	GROUP : 2643

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XAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
INITIAL	4,640,991	02/1987	Matthews et al.			
	4,672,660	06/1987	Curtin	RE	CEIVE	- D
	4,685,127	08/1987	Miller et al.		L 0 7 200	 1
	4,747,124	05/1988	Ladd			
	4,759,056	07/1988	Akiyama	-Tecuito	ogy Cente	-20UU
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LIST OF PATENTS AND OTHER TEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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SERIAL NO. 09/040,509

APPLICANT: Ronald A. KATZ

FILING DATE: March 17, 1998

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EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
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	5,354,069	10/1994	Guttman et al.			
		05/1995	Morrisey et al.			
	5,418,844		PATENT DOCUMENTS			<u></u>

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EXAMINER		DATE	COUNTRY	CLASS	SUB CLASS	YES	NO
INITIAL	DOCUMENT NUMBER	12/1987	EPC				no
	0 249 795	07/1986	France				no
	2 575 016	06/1982	PCT			yes	
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	APPLICANT: Ronald A. KATZ	
	FILING DATE: March 17, 1998	GROUP: 2643

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		U.S. PA	TENT DOCUMENTS			
EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
INITIAL	3,553,378	01/1971	Solomon, et al.			
	3,829,628	08/1974	Tripsas			
	4,565,903	01/1986	Riley			
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	4,782,519	11/1988	Patel, et al.			
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	4,799,255	01/1989	Billinger, et al.		0 7 200	
	4,856,066	08/1989	Lemelson		logy Cente	
	4,932,021	06/1990	Moody	16011110	MAX DEUT	// 6.000
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	4,959,855	09/1990	Daudelin			
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		U.S. PA	TENT DOCUMENTS			
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	5,214,689	05/1993	O'Sullivan			
	5,236,199	08/1993	Thompson, Jr.			
	5,289,531	02/1994	Levine			
	5,327,554	07/1994	Palazzi, III, et al.			
	5,361,295	11/1994	Solomon, et al.			
	5,369,685	11/1994	Kero			
	5,416,830	05/1995	MacMillan, Jr., et al.			
	5,511,112	04/1996	Szlam			
	5,561,710	10/1996	Helms			
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APPLICANT:
Ronald A. KATZ

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		FOREIG	N PATENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATION NO
INITIAL	1162484	04/1967	Great Britain			Yes	
	WO 89/11768	11/1989	PCT			Yes	
	WO 90/10989	09/1990	РСТ			Yes	
	WO 90/11661	10/1990	PCT			Yes	
	WO 91/15818	10/1991	PCT			Yes	
	WO 92/06548	04/1992	PCT			Yes	
	WO 92/09164	05/1992	PCT			Yes	
	WO 92/15166	09/1992	PCT			Yes	
	2253542	09/1992	Great Britain			Yes	
	EP 0 382 212 B1	07/1998	EPC			Yes	
	EP 0 382 670 B1	04/1997	EPC			Yes	
	EP 0 438 860 B1	09/1996	EPC			Yes	
	0 451 693 A2	10/1991	EPC			Yes	
	0 451 695 A2	10/1991	EPC			Yes	
	0 453 831 A2	10/1991	EPC			Yes	
	0 454 363 A2	10/1991	EPC			Yes	
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PADEMARKO		U.S. PATEN	IT DOCUMENTS			
EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
INITIAL		04/1973	Stephenson			
	3,727,186	07/1986	Ellis Cave			
	4,599,493	08/25/87	Troy et al.	~~=====		
	4,689,742	12/01/87	Kauffman			
	4,710,955	09/1988	Billinger et al.			
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	4,832,341	05/23/89	Freer			
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	4,916,726	04/10/90	Morley, Jr. et al.	Technology Cen	ter 2600	
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	5,000,486	03/19/91	Rua, Jr. et al.			
	5,003,595	03/1991	Collins et al.			
	5,128,984	07/07/92	Katz			
	5,351,285	09/1994	Katz			
	5,403,999	04/04/95	Entenmann et al.			
	5,415,416	05/16/95	Scagnelli et al.			
	5,475,205	12/12/95	Behm et al.			
	5,490,207	02/06/96	Schorr			
	5,537,143	07/16/96	Steingold et al.			
	5,599,046	02/14/97	Behm et al.			
	5,709,603	01/20/98	Kaye			

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	F	OREIGN PA	TENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES	NO
11111111	32 25 562	01/19/84	Germany				no
	2 252 270 A	08/1992	Great Britain			yes	
	0 217 308 A2	04/08/87	Europe			yes	
	0 917 335 A2	05/19/99	Europe			yes	<u> </u>
	246067/1988	10/13/88	Japan				no
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	54-62708	05/21/79	Japan Technology Cen	ter-2600			no
	52-17739	02/09/77	Japan				no
	59-83270	05/14/84	Japan				no
	62-92654	04/28/87	Japan			ļ	no
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	Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
	A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified
	A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
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	4,313,035	03/29/88	Jordan et al.			

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	5,003,585	03/26/91	Richer			
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	48-90110	11/24/73	Japan		<u> </u>		NO
	52-016941	02/08/77	Japan			YES	
	52-021738	02/18/77	Japan			YES	
	53-039808	04/12/78	Japan			YES	
	53-115109	10/07/78	Japan			YES	
	53-039808	10/24/78	Japan			ļ	NO
	53-132919	11/20/78	Japan				NO
	54-089509	07/16/79	Japan			-	NO
	55-107375	08/18/80	Japan			YES	
	55-166370	12/25/80	Japan			_	NO
	56-004969	01/19/81	Japan			YES	
	56-020371	02/25/81	Japan			YES	
	56-098966	08/08/81	Japan			YES	
	57-073471	05/08/82	Japan			YES	-
	57-125567	08/04/82	Japan			YES	-
	57-125569	08/04/82	Japan			YES	
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	58-002136	01/07/83	Japan		NO
	58-003367	01/21/83	Japan	YES	
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	58-221559			YES	
	59-016068	01/27/84	Japan	YES	
	59-108446	06/22/84	Japan		
	59-190771	10/29/84	Japan	YES	
	59-208973	11/27/84	Japan	YES	
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	60-010868	02/23/85	Japan	YES	
	60-035868	04/15/85	Japan		NO
	60-014563	11/05/85	Japan	YES	
	61-006163	02/24/86	Japan		NO
	61-210754	09/18/86	Japan	YES	
	CA 1143494	01/25/80	Canada	YES	
	DE 2351949A1	04/24/75	Germany	YES	
	DE 2503423A1	07/31/75	Germany		NC
	DE 2903450A1	08/07/80	Germany	 YES	
	DE 2903479A1	08/07/80	Germany	YES	
	DE 3406615A1	08/30/84	Germany	YES	-
	EP0009684A1	08/12/79	EPC	YES	<u>;</u>

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SUPPLEMENTAL XII

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APPLICANT: Ronald A. KATZ

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IST OF PATENTS AND OTHER ITEMS

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	EP0015120A1	02/14/80	EPC	YES	
	EP0041261A1	06/01/81	EPC	123	NO
	EP0048868A1	08/10/81	EPC		NO
	EP0060643A2	03/01/82	EPC	YES	
	EP0066823A1	05/29/82	EPC	YES	
	EP0088639A2	03/09/83	EPC	YES	
	FR 2349899A1	04/30/76	France		NO
	FR 2522850A2	03/03/82	France		NO
	FR 2542115A1	03/03/83	France		NO
	GB 1124945	09/17/68	United Kingdom	YES	-
	GB 1352600	05/08/74	United Kingdom	YES	<u> </u>
	GB 1400654	07/23/75	United Kingdom	YES	-
	GB 1442883	07/14/76	United Kingdom	YES	-
	GB 1505718	03/30/78	United Kingdom	YES	-
	GB 1520529	08/09/78	United Kingdom	YES	-
	GB 1544542	04/19/79	United Kingdom	YES	+-
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	GB 2057740	04/01/81	United Kingdom	YES	-
	GB 2065353A	06/24/81	United Kingdom	YES	-
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APPLICANT'S INFORMATION

Report of		 U.S.	PATENT DOCUMENTS			
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SERIAL NO. ATTY. DOCKET 09/040,509 FORM PTO-1449 RECEIVED NO. 6646-101NJ SUPPLEMENTAL XV APPLICANT: JUL 0 7 2004 Ronald A. KATZ ST OF PATENTS AND OTHER ITEMS GROUP: Technology Center 2600 FILING DATE: OR APPLICANT'S INFORMATION 2643 March 17, 1998 DISCLOSURE STATEMENT

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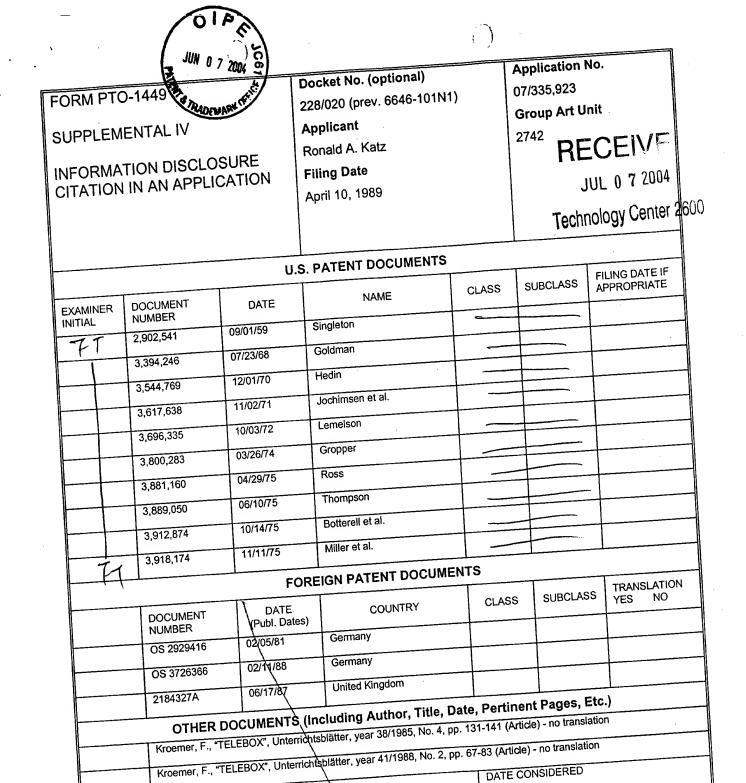
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	Custom	Cable Data, 2 pages (Vz Cal 134831-134832) av's simplest, most cost-effective approach to data entry and retrieval,"
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	"Data Entry/Voice Response, Today	Cable Data, 2 pages (Vz Cal 134831-134832) Say's simplest, most cost-effective approach to data entry and retrieval," I Source (Vz cal 92344-92351)
	"Data Entry/Voice Response, Today Perception Technology, 8 pages, 5,	5/85 (VZ Cai 92544-9255.)
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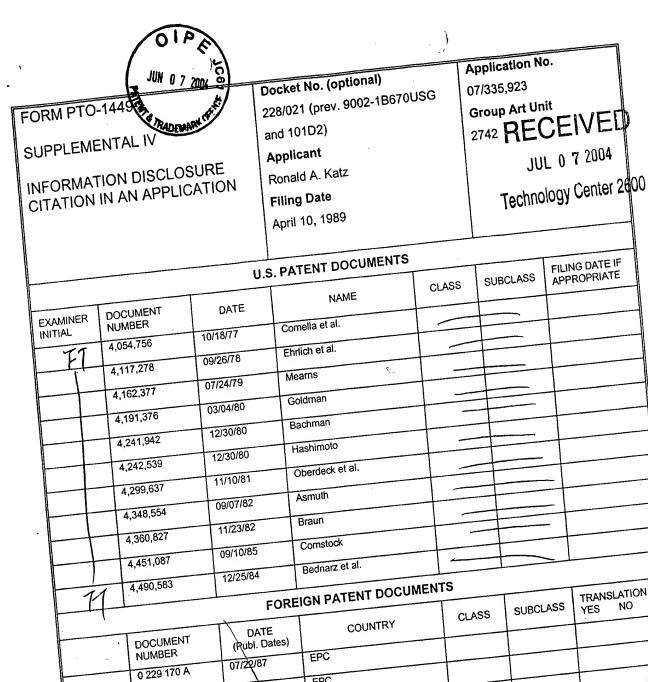


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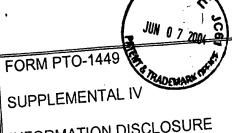


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INFORMATION DISCLOSURE CITATION IN AN APPLICATION Docket No. (optional)

228/020 (prev. 6646-101N1)

Applicant

Ronald A. Katz

Filing Date

April 10, 1989

Application No.

07/335,923

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7	4,562,342	12/31/85	Lockwood			
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_	4,582,956	03/27/86	Clark			
	4,591,190	09/30/86	Breen			
	4,614,367	03/24/87	Koza			
	4,652,998	05/05/87	Szeto			
	4,663,777	06/09/87	Bachman et al.			
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	A.S. Yatagai,	"Telephonic Voice	Synthesis Systems," Telecomi fith New Technologies For Tele	marketers," DM	News, Feb. 15, 1	987 at 50
	A.J. Waite, "C	Setting Personal W	onger just talk," Data Communi	cations, Aug. 198	31 at 43	
	"Shopping vi	a a network is no le	onger just talk," Data Communicestaurant Technology, Nation's	Restaurant Nev	vs Newspaper, J	ul. 1, 1985 at 51
-	"Growth-Orio	ented Systems, " R	estauram 755	DAT	E CONSIDEREI	O .
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Applicant

Ronald A. Katz

Filing Date

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Application No.

07/335,923

Group Art Unit

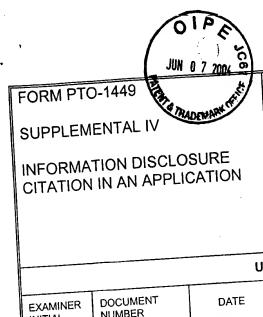
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77	4,704,725	11/00/01	Harvey et al. Groner et al.			
	4,716,583	12/29/87				
	4,764,666	08/16/88	Bergeron			
	4,766,604	08/23/88	Axberg			
	4,774,655	09/27/88	Kollin et al.			
	4,788,718	11/29/88	McNabb et al.		-	
	4,796,293	01/03/89	Blinken et al.		 	
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	9002131	08/21/90	France			
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	"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59 "Business Units Get Order Entry," Computerworld, July 12, 1982 at 36					
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Filing Date
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	4,969,185	11/06/90	Dorst et al. Fisher et al.				
	5,017,917	05/21/91	Pearson et al.				
-	5,018,736	05/28/91	Kaplan et al.				
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	5,097,528	03/17/92	Harvey et al.				
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ATTY. DOCK NO. 228/020 (prev. 6646-101N1)

SERIAL NO. 07/335,923

APPLICANT:

Ronald A. Katz

FILING DATE: April 10, 1989 GROUP: 2742

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INITIAL	4,625,081	11/25/86	Lotito et al.			
	4,689,742	08/25/87	Troy et al.			
	4,710,955	12/01/87	Kauffman			
	4,832,341	05/23/89	Muller		RF	CEIVED
	4,850,007	07/18/89	Marino et al.			0 7 2004
	4,916,726	04/10/90	Morley, Jr. et al.			logy Center 26
	4,926,462	05/15/90	Ladd et al.		1001110	
	5,000,486	03/19/91	Rua, Jr. et al.			
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APPLICANT:

Ronald A. Katz

FILING DATE: April 10, 1989 GROUP: 2742

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	Pasinger, R. G., et al. "Calling Card Service - Overall Description and Operational Characteristics",
l l	The Bell System Technical Journal, September, 1982 Confalone, D. E., et al, "Calling Card Service - TSPS Hardware, Software, and Signaling Implementation",
] 7	The Bell System Technical Journal, September, 1902
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	Lexis Search , 11/1/84, re: System 85 Computer Process
	Lexis Search, 01/28/85, re: Rolm Releases Four-Channel Phonemail Voice Message Unit

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Eigen, D.J., et al., "Calling Card Service - Human Factors Studies", The Bell Technical Journal, September, 1982
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Ronald A. Katz FILING DATE: April 10, 1989

GROUP: 2742

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified. A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown. An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc. A trademark scan (U.S. Federal) indicating a first date of use for Telebroker in June 18, 1988. Bulfer, Andrew F., "AT&T's Pay-Per-View Television Trial", published in AT&T Technical Journal, May/June, "AT&T's Response to Plaintiff's Second Set of Interrogatories to Defendant AT&T Corp. (Nos. 17-18)", Ronald A. Katz Technology Licensing, L.P. and MCI Telecommunications Corp., Civil Action No. 97-4453 "AT&T's Preliminary Prior Art Invalidity Contentions Regarding Select Claims For Initial Determination", Ronald A. Katz Technology Licensing, L.P. and MCI Telecommunications Corp., Civil Action No. 97-4453 (USDC, ED PA) RECEIVED JUL 0 7 2004 Technology Center 2600

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Ronald A. Katz FILING DATE:

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INITIAL	3,553,378	01/1971	Solomon, et al.			
	3,829,628	08/1974	Tripsas			
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	5,181,236	01/1993	LaVallee, et al.			

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	5,214,689	05/1993	O'Sullivan			
	5,236,199	08/1993	Thompson, Jr.			
	5,289,531	02/1994	Levine			
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IIII	1162484	04/1967	Great Britain			Yes
	WO 89/11768	11/1989	PCT			Yes
	WO 90/10989	09/1990	PCT			Yes
	WO 90/11661	10/1990	PCT			Yes
	WO 91/15818	10/1991	PCT			Yes
Sw	WO 92/06548	04/1992	PCT			Yes

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Information Disclosure Statement - Section 9 PTO-1449

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LIST OF PATENTS AND OTHER INFORMATION DISCLOSURE STATEMENT

228/046 (prev. 6640-114N5) SERIAL NO. 08/306,456

ATTY. DOCKET NO.

APPLICANT: Ronald A. Katz

FILING DATE: September 14, 1994 GROUP: 2643

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Su	WO 92/09164	05/1992	PCT			Yes	
	WO 92/15166	09/1992	PCT			Yes	
	2253542	09/1992	Great Britain			Yes	
	EP 0 382 212 B1	07/1998	EPC			Yes	
	EP 0 382 670 B1	04/1997	EPC			Yes	
	EP 0 438 860 B1	09/1996	EPC			Yes	<u> </u>
	0 451 693 A2	10/1991	EPC			Yes	
	0 451 695 A2	10/1991	EPC			Yes	
	0 453 831 A2	10/1991	EPC			Yes	-
8	0 454 363 A2	10/1991	EPC			Yes	
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	4,710,955	12/01/87	Kauffman					
	4,832,341	05/23/89	Muller					
	4,835,630	05/1989	Freer					
	4,850,007	07/18/89	Marino e	t al.				
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	4,926,462	05/15/90	Ladd et a		72	004		
	5,000,486	03/19/91	Rua, Jr. e	-		nter 2	600	
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	5,787,156	07/28/98	Katz			
	3,737,130					
	5,790,636	08/1998	Marshall	<u> </u>		
m	3,730,030					
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Page 9 of 42

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	distributed to the public is unknown.		
	An early brochure based on a March, 1989, sun	vev by Charles Schwab & Co	o., Inc.
	A trademark scan (U.S. Federal) indicating a firs	t date of use for Telebroker i	n June 18, 1988.
	A trademark scan (U.S. Federal) indicating a first Bulfer, Andrew F., "AT&T's Pay-Per-View Telev	ision Trial", published in AT	&T Technical Journal, May/June
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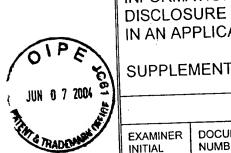
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Information Disclosure Statement - Section 9 PTO-1449



FORM PTO-1449 228/046 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket No. (optional)

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

Application No.

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Information Disclosure Statement - Section 9 PTO-1449 LA-175540.1

Application No. Docket No. (optional) **FORM PTO-1449** 08/306,456 228/046 **Group Art Unit** (prev. 6646-114N5) INFORMATION 2643 RECEIVED **Applicant** DISCLOSURE CITATION IN Ronald A. Katz AN APPLICATION JUL 0 7 2004 Filing Date UPPLEMENTAL VII Technology Center 2600 09/14/1994 U.S. PATENT DOCUMENTS SUBCLASS FILING DATE IF APPROPRIATE DOCUMENT CLASS **EXAMINER** DATE NAME NUMBER INITIAL FOREIGN PATENT DOCUMENTS TRANSLATION SUBCLASS DOCUMENT CLASS COUNTRY YES DATE NUMBER OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Press Release, PR Newswire, December 5, 1985 (A21708106) Chapin, Dwight, "A Kids' Game," Article Source Unknown (A21706674) Charlish, Geoffrey, "Telephone Message that Failed to Get Across to the U. K.," Financial Times, January 16, 1986 (A21708203) Collins, Francis R., "Reality of Equal Access: Implementation Problems," Telephone Engineer & Management, Volume 88, September 1, 1984, p. 128 (A21708884) "Company News: Phone Service to be Tested," The New York Times, January 20, 1989 (A01331395) "Components," Electronic News, 1984 (A21726007) "Computerized Telecommunications Switching Systems," Article Source Unknown Connolly, James, "Republican Convention Set to Test Telecommunications," Computerworld, July 9, 1984, p. 17 (A21708804) Conroy, Cathryn, "Audiotex Arrives," Monitor, Date Unknown (W71189) "Corporate Preoccupation with Costs Spurs Telephone Management Sales," Computerworld Focus, May 14, 1986, p. 13 (A21708457) Cox, John D., "Talk Into Telephone, Command a Computer," The Sacramento Bee, September 10, 2985 (A01354683) "CPU, PBX Vendors Drawing Alliances," Computerworld, April 23, 1984, p. 15 (A21708674) Crawford, K. E., et al., "4A Toll Crossbar Application," The Bell System Technical Journal, Volume 57, Number 2, February 1978, pp. 283-323 (A21716223) Croxall, L. M., et al., "Operational Experience with the 5ESS™ Switch," ISS Florence, Session 42 A, Paper 4, May 1984 (A21725550) Cummings, Steve, "Voice-Mail Systems Attract Tentative Interest," PC Week, Volume 3, Number 49, December 9, 1986, p. 140 (A21707565) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Ronald A. KATZ

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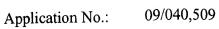
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Application No.: 09/040,509 JUN 0 7 2004 Attorney Docket No.: 6646-101NJ

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Application No.: 09/040,509 JUN 0 7 2004 Attorney Docket No.: 6646-101NJ

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Application No.: 09/040,509 JUN 0 7 2004 Attorney Docket No.: 6646-101NJ

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Application No.: 09/040,509



JUN 0 7 2004 💆

Attorney Docket No.: 6646-101NJ

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12/05/2002

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09/040,509

Attorney Docket No.: 6646-101NJ

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Name	File Type	Size	Date of Creation
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VIII. Supplemental X

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Name	File Type	Size	Date of
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09/040,509



Attorney Docket No.: 6646-101NJ

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Name	File Type Size	Date of
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Attorney Docket No.: 6646-101NJ

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Technology Center 2600

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Attorney Docket No.: 6646-101NJ

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09/040,509

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Machine format:

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Operating system compatibility: List of Files contained on this disc:

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